PEO SHIPS
Authorization Policy

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SHIPS-F

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Impetus

- **SHIPMAIN PIT Meeting, March 2004:**
  - **Discussed waivers of S/A installations and installations of immature S/As**
  - **VADM LaFleur & RADM Etnyre are "committed to 'no more waivers'"**
  - **Business cases for exceptions will be addressed on a case-by-case basis**
  - **Culture change is required and will be implemented**

"NO MORE WAIVERS"

Impetus

- **Adherence to FMP and FRP milestones is critical to:**
  - **Completing availabilities on schedule and within budget**
  - **Minimizing premiums paid**
  - **Ensuring ships force is fully trained**
  - **Ensuring equipment is fully supported**
- **SHIPMAIN "Entitled" Process is embedded throughout the maintenance and modernization processes:**
  - **Reducing cycle time**
  - **Reducing churn**
  - **Reducing premiums paid**
  - **Increasing First Pass Yield**
  - **Increasing On time delivery**
  - **Increasing Efficiency**

LOA Business Rules

- **A-12 Months:**
  - **LOA Contains Authorized Alts and Planned but Not Authorized (PNA) Alts.**
- **A-4 Months**
  - **Last change to LOA**
  - **PNA Alts receiving pedigrees (SIDS, SARS, ILS CERT, NDE-NM, BASELINE) between A-4 Mos and A-75 Days require:**
    - **Message request from PARM to SPM to add to LOA (use message format provided Attachment A)**
  - **Any Alt previously not included as either authorized or PNA in the LOA requires two things:**
    - **PARM message request to add Alt to LOA (Attachment A)**
    - **TYCOM certification of urgent requirement (use message/email format provided Attachment B)**

LOA Business Rules

- **A-75 Days:**
  - **Any Alt receiving pedigree after A-75 days requires two things:**
    - **PARM message request to add alt to LOA (Attachment A).**
    - **TYCOM certification of urgent requirement (Attachment B)**

LOA Business Rules

- **A-0 Days:**
  - **Any alt added after the start of avail will require three things:**
    - **PARM message request to add alt to LOA (Attachment A)**
    - **TYCOM certification of urgent requirement (Attachment B)**
    - **TYCOM assumption of schedule and premium risk**

LOA Business Rules

- **NSA integration and impact analysis should include as a minimum (FMP Manual Section 10):**
  - **MSMO critical path planning and integration of alt**
  - **Material status**
  - **Expected premiums**
  - **Impact to pro-ration costs, training and testing impacts**
  - **Impact to maintenance and modernization business plan (CNSF Note 4703)**

Attachment A: PARM Request

NSA
RMC
RMMC0
BT
MSGID/
SUBJ/REQUEST FOR AUTHORIZATION OF ALTERATION (ALTERATION NUMBER)
(ALTERATION DESCRIPTION) FOR USS (SHIP NAME) (HULL NUMBER) FY (NUMBER)
(AVAILABILITY)
REF A/MSG/PEO SHIPS/F/XXXXX//
REF B/MSG(EMAIL)/TYCOM/00/XXXXX//
REF C/MSG/TYCOM/00/XXXXX// (IF APPLICABLE)
AMPN/REF A IS PEO SHIPS MSG ON PROCESS FOR SPM AUTHORIZATION AFTER A-4.
REF B IS TYCOM CERTIFICATION OF URGENT REQUIREMENT. REF C IS TYCOM ILS
WAIVER/RISK ASSESSMENT FOR ALTERATION NUMBER (IF APPLICABLE)//
POC/(NAME)/(RANK)/(ORGANIZATION)/TEL (NUMBER)/EMAIL (EMAIL)
RMKS/1. PER REF A, ORIG REQUESTS AUTHORIZATION FOR ALTERATION
(ALTERATION NUMBER), (ALTERATION DESCRIPTION) FOR USS (SHIP NAME) (HULL
NUMBER) DURING FY (NUMBER) (AVAILABILITY). (TYCOM) CERTIFIES URGENT
REQUIREMENT BY REF B. FMP AND FRP REQUIREMENTS WERE COMPLETED AS
FOLLOWS:

A. SAR APPROVED: (DATE)

B. SID APPROVED: (DATE)

C. ILS CERTIFICATION: (DATE) OR TYCOM ILS WAIVER/RISK ASSESSMENT APPROVED: (DATE) BY REF C (IF APPLICABLE)

D. C5IMP BASELINE APPROVED: (Y/N)

(1) ECCB FORM NUMBER (IF APPLICABLE)

E. NSA INTEGRATION AND IMPACT ANALYSIS PLAN COMPLETED AND SUBMITTED TO PEO SHIPS FC: (DATE)

F. SUBJ ALTERATION WAS PREVIOUSLY INCLUDED AS PLANNED BUT NOT AUTHORIZED IN PEO SHIPS FC (A-12/A-4) AUTHORIZATION LETTER: (Y/N); SERIAL NUMBER; DATE.

2. REQUEST PEO SHIPS PROVIDE AUTHORIZATION FOR SUBJ ALTERATION INSTALLATION.
BT

Attachment B: TYCOM Request

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TO PEO SHIPS/F/FC/FR/FL
INFO OPNAV N4/N6/N7
COMFLT N41/N43/N6
TYCOM N41/N43/N6
COMFLT N41/N43/N6
NAUSEA 04, 53
CVBG/ARG DCR
DESRON/PHIBGRU
SHIP
RMMCO
RMC
SUPSHIP

BT

MSGID/

SUBJ/REQUEST ALTERATION (ALTERATION NUMBER) (ALTERATION DESCRIPTION)

FOR USS (SHIP NAME) (HULL NUMBER) FY (NUMBER) (AVAILABILITY) BE ADDED

TO THE LOA FOR THIS AVAILABILITY

REF/A/COM/(DISCUSSIONS INITIATING THIS MSG)/
REF/B/MSG/ PEO SHIPS /FC/XXXXX
REF/C/PHONECON OR EMAIL/DISCUSSIONS BETWEEN TYCOM AND COMFLT WHICH
PROVIDE COMFLT CONCURRENCE WITH THE RISK ASSESSMENT AND INSTALLATION/
NARR/REF A IS A PHONECON/VTC/MTG/EMAIL BTWN SPM/APM/PARM AND TYCOM
PROVIDING AGREEMENT THAT AN INSTALL DURING (DATE/AVAILABILITY),
IS IN THE BEST INTEREST OF THE SHIP.
REF B DESCRIBES THE PMP AUTHORIZATION PROCESS FOR SHIP
ALTERATIONS. REF C IS A PHONECON OR EMAIL THAT PROVIDES COMFLT
CONCURRENCE WITH THIS RISK ASSESSMENT AND DECISION TO INSTALL SUBJ
ALTERATION/
 POC./
RMKS/1. AS DISCUSSED AND AGREED TO BY REF A, AND IAW REF B, REQUEST ADD

SA (ALTERATION NUMBER) (ALTERATION DESCRIPTION) FOR USS (SHIP NAME)

(HULL NUMBER) FY (NUMBER) (AVAILABILITY) TO LOA FOR THIS AVAILABILITY.

FOLLOWING STATUS IS PROVIDED WITH REGARD TO SUBJECT INSTALL:

      A. SAR APPROVED: (DATE)

      B. SID APPROVED: (DATE)

      C. ILS CERTIFICATION: (DATE)

      D. FRP BASELINE APPROVED: (Y/N)

         1.) ECCB FORM NUMBER (IF APPLICABLE)

      E. NSA INTEGRATION AND IMPACT ANALYSIS PLAN COMPLETED AND
      SUBMITTED TO PEO SHIPS FC: (DATE)

2. PROVIDE JUSTIFICATION DRIVING NEED TO INSTALL PREVIOUSLY UNPLANNED
ALT/RISK ASSESSMENT PLANNING FOR AND ACCOMPLISHING LATE INSTALL.

3.  NAME, RANK, CODE OF INDIVIDUAL PROVIDING APPROVAL TO INSTALL
BASED ON RISK ASSESSMENT (MUST BE AT THE CHIEF OF STAFF (COS)/
ASSISTANT CHIEF OF STAFF (ACOS) LEVEL OR HIGHER).
BT.//
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USS STOUT Example

Subject: USS STOUT RAV (23JUN04-18AUG04)

HF RADIO CONTROL GROUP (S/A 00163), is not in USS STOUT's FY04 RAV LOA.

USS STOUT FY04 RAV IS: (23JUN04-18AUG04).

SIDS/SAR/ILS status:

SIDS APPROVED: 26 MAR 04

SAR APPROVED: 22 APR 04

ILS CERTIFICATION: CERTIFIED 20 MAY 04

C5IMP BASELINE APPROVED: YES.

Reason why not included: Incomplete ILS certification.

TYCOM ASSUMPTION OF SCHEDULE AND PREMIUM RISK: RMC POC CDR Lamade has indicated no additional cost incurred or impact to other planned alterations during this RAV.

Reason to install: This upgrade consists of replacing the aging HF radio suite with a centralized PC controlled/managed High Frequency Radio Group communication suite.

RECOMMENDATION: Addition of HF RADIO CONTROL GROUP (S/A 00163) into USS STOUT RAV Package.